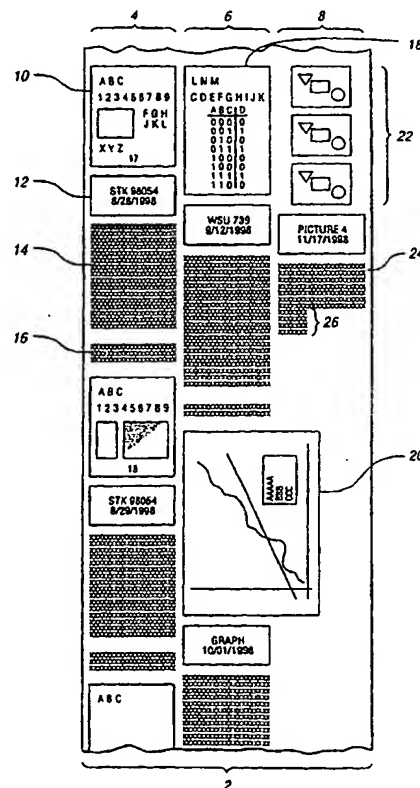




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

| | | |
|--|-----------|---|
| (51) International Patent Classification ⁷ : H04N 1/00 | A1 | (11) International Publication Number: WO 00/28726 (43) International Publication Date: 18 May 2000 (18.05.00) |
| (21) International Application Number: PCT/US99/26231 (22) International Filing Date: 4 November 1999 (04.11.99) (30) Priority Data: 09/187,440 6 November 1998 (06.11.98) US (71) Applicant: STORAGE TECHNOLOGY CORPORATION [US/US]; One StorageTek Drive, MS-4309, Louisville, CO 80028-4309 (US). (72) Inventors: SMITH, Archibald, W.; 851 Racquet Lane, Boulder, CO 80303 (US). LEONHARDT, Michael, L.; 4076 Driver Court, Longmont, CO 80503 (US). FRARY, James, Martin; 503 West Ash Court, Louisville, CO 80027 (US). (74) Agents: BAILEY, Wayne, P. et al.; Storage Technology Corporation, One StorageTek Drive, MS-4309, Louisville, CO 80028-4309 (US). | | (81) Designated States: JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i> |
| (54) Title: ARCHIVAL INFORMATION STORAGE ON OPTICAL MEDIUM IN HUMAN AND MACHINE READABLE FORMAT | | |
| (57) Abstract <p>A system and method for storing documents in human and machine readable format. A laser scanner is used to write de-magnified visual copies and digital records of the documents in an optical recording medium. A table of contents and record headers are provided to ease document retrieval. The optical recording medium is made of materials which can be written directly by the laser scanner without the need for post-writing processing. The direct write characteristic allows immediate retrieval, and allows additional documents to be added to the optical recording medium at later times. The documents are retrieved from the optical recording medium by either magnifying the visual copies or by regenerating the documents in visual form using the digital information.</p> | | |



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

| | | | | | | | |
|----|--------------------------|----|---------------------|----|-----------------------|----|--------------------------|
| AL | Albania | ES | Spain | LS | Lesotho | SI | Slovenia |
| AM | Armenia | FI | Finland | LT | Lithuania | SK | Slovakia |
| AT | Austria | FR | France | LU | Luxembourg | SN | Senegal |
| AU | Australia | GA | Gabon | LV | Latvia | SZ | Swaziland |
| AZ | Azerbaijan | GB | United Kingdom | MC | Monaco | TD | Chad |
| BA | Bosnia and Herzegovina | GE | Georgia | MD | Republic of Moldova | TG | Togo |
| BB | Barbados | GH | Ghana | MG | Madagascar | TJ | Tajikistan |
| BE | Belgium | GN | Guinea | MK | The former Yugoslav | TM | Turkmenistan |
| BF | Burkina Faso | GR | Greece | | Republic of Macedonia | TR | Turkey |
| BG | Bulgaria | HU | Hungary | ML | Mali | TT | Trinidad and Tobago |
| BJ | Benin | IE | Ireland | MN | Mongolia | UA | Ukraine |
| BR | Brazil | IL | Israel | MR | Mauritania | UG | Uganda |
| BY | Belarus | IS | Iceland | MW | Malawi | US | United States of America |
| CA | Canada | IT | Italy | MX | Mexico | UZ | Uzbekistan |
| CF | Central African Republic | JP | Japan | NE | Niger | VN | Viet Nam |
| CG | Congo | KE | Kenya | NL | Netherlands | YU | Yugoslavia |
| CH | Switzerland | KG | Kyrgyzstan | NO | Norway | ZW | Zimbabwe |
| CI | Côte d'Ivoire | KP | Democratic People's | NZ | New Zealand | | |
| CM | Cameroon | | Republic of Korea | PL | Poland | | |
| CN | China | KR | Republic of Korea | PT | Portugal | | |
| CU | Cuba | KZ | Kazakstan | RO | Romania | | |
| CZ | Czech Republic | LC | Saint Lucia | RU | Russian Federation | | |
| DE | Germany | LI | Liechtenstein | SD | Sudan | | |
| DK | Denmark | LK | Sri Lanka | SE | Sweden | | |
| EE | Estonia | LR | Liberia | SG | Singapore | | |

Archival Information Storage on Optical Medium in Human and Machine Readable
Format

TECHNICAL FIELD

5

The present invention relates to long term information storage and retrieval
in both human and machine readable format on optical media.

BACKGROUND ART

10

A traditional method of archiving human readable information is to record
documents on microfilm. These documents may originally be in the form of paper,
photographic film and the like, as well as electronic data generated by computer.
The microfilm recording process involves exposing photosensitive film to de-
magnified images of the documents. After the film has been exposed, it is
subjected to wet chemical processing to develop the images. The recorded
documents are usually read by projecting their magnified images on to a screen,
or by enlarging them with a television camera and a video monitor. With typical
de-magnifications of up to 25x, the storage density of microfilm is on the order of
50 Mbits/inch². When properly stored, the microfilm is estimated to have a life
of up to 500 years.

20

A limitation of microfilm is that the film must be chemically processed
prior to the retrieval of the stored information. As such, documents are usually
recorded onto microfilm in groups. Once a piece of microfilm has been developed
no further documents can be recorded on that particular film. The chemical
development process itself leaves waste silver and other chemicals which need to
be disposed. Another limitation is that microfilm has little gray scale capability
and no color capability, thus its use is limited to high contrast, black and white text
documents and drawings. Finally, few systems are available to mechanically

30

interface the microfilm to automated libraries, or the recorded information to computer systems.

5 With the advancement of computer storage technology, information is increasingly stored in digital form. Documents not already in digital form are converted into Tagged Image File Format (TIFF) or similar electronic file formats using a document scanner, and stored on magnetic tape, optical disks, or the like. For retrieval or viewing, the documents are reconstructed from the electronic files and displayed on monitors or printed onto paper. Storage densities for the
10 magnetic tapes and optical disks typically exceed 100 Mbits/inch². The magnetic tapes can be compatible with conventional tape library systems when mounted in standardized cartridges that allow for automated handling. Likewise, optical disk handling can be automated. Data on the magnetic tapes have a stored lifetime of 10 to 20 years. Optical disk data lifetimes are estimated to be around 30 to 60
15 years. Both magnetic tape and optical disks, however, are vulnerable to the rapid advancements in computer storage technologies that make their readers obsolete. In order to have the information in readable form 100 years from now the information on existing magnetic tape and optical disks will have to be migrated periodically to new media to maintain compatibility with the changing read/write
20 equipment.

The Kodak Archive Writer has improved on the storage capacity over microfilm by using rolls of 16 millimeter film and increasing the de-magnification to 40x. Each document is input as a TIFF electronic file which is used to modulate
25 the intensity of a spot formed on a cathode ray tube (CRT). The CRT spot is optically imaged on to the film, which is exposed with a visual image of the document by appropriately scanning the CRT spot. As with the microfilm, prior to document retrieval the entire roll is subjected to wet chemical processing to develop the images. Retrieval of the information is accomplished with a television
30 camera and computer which recreates the TIFF files from the visual images.

Another approach for long term storage is the Rosetta System proposed by Norsam Technologies. In the Rosetta System visual information is written into a silicon wafer using electron beam exposure or ion implantation. This requires the use of an energetic scanning electron beam or ion beam in a vacuum chamber. The silicon is then depth-etched using an etch-stop technique to form a master disk. This wet chemical processing results in similar batch limitations as microfilm. Documents are stored at 850x de-magnification at a density of over 2 Gbits/inch². Reading of the visual images is accomplished with a high-powered microscope equipped with phase contrast or interference-optics.

Consequently, a need has developed for a document storage approach which will allow the documents to be retrieved decades into the future without the need for readers which have long since become obsolete. The approach must accommodate documents of various sizes, resolutions, those containing shades of gray and color, and it must allow for new documents to be added to or retrieved from the storage media at any time. For ease of integration with existing computer systems the document storage approach should be compatible with current automated removable-media library systems and allow for storage in digital formats.

DISCLOSURE OF INVENTION

The object of the present invention is to provide a system and a method for storing documents for long periods of time. In particular, the invention starts with the documents in an electronic file format and ends with the documents stored in de-magnified human readable format on an optical recording medium so that the documents can be retrieved using a microscope. A Table of Contents and file headers containing indices and other metadata regarding each document may be included to help identify, locate and manage the documents during read-out. The

optical recording medium is direct laser writeable to allow additional documents to be added and retrieved at any time.

5 A further object of the invention is that the information contained in the electronic files is processed prior to writing to accommodate shades of gray, color, and different resolutions of the documents.

10 Yet another object is that the documents can be stored in both digitally encoded and human readable form on the same physical media.

In carrying out these objects, the above objects, and other objects, features and advantages of the present invention will be readily apparent upon consideration of the following detailed description of the best mode for carrying out the invention in conjunction with the accompanying drawings.

15

BRIEF DESCRIPTION OF DRAWINGS

FIG. 1 is an illustration of data written on an optical recording tape with the visual records and digital records in the same track;

20

FIG. 2 is an illustration of data written on an optical recording tape using traverse scanning for writing the visual records and longitudinal serpentine scanning for writing the digital records;

25

FIG. 3 is an illustration of data written on an optical recording disk;

FIG. 4 is a schematic diagram of a galvanometer based laser position and intensity control portion of a scanning laser writer; and

FIG.5 is a flow chart which shows the processing of information by the laser scanner from the electronic file to the optical recording medium.

BEST MODE FOR CARRYING OUT THE INVENTION

5

The present invention stores documents on laser writeable optical media which does not require post-writing processes. Use of the laser writeable media allows documents to be added to the media at different times thus eliminating the need for batch processing of all of the documents at the same time. The information is stored in human readable format (visual record) to make recovery of the documents dependent only on the availability of an optical microscope, scanning electron microscope, acoustic microscope, or any other method of imaging and magnifying the documents stored on the media. The information can also be stored in digitally encoded form (digital record) to provide direct digital read-out when an appropriate reader is available. Where the digital form of the document was not recorded, or is otherwise unreadable, the visual form of the document can be read by optical or non-optical method and digitized to recreate the electronic file.

20

The present invention starts by reading the documents once they exist as electronic files. Hard copy documents may be converted into the electronic files using conventional document scanners, or the documents may be created as electronic files using any program that produces an output that is intelligible to human viewing, including existing word processing, graphic, or painting software programs. A typical document is a letter on standard 8.5 by 11 inch size paper. A typical electronic file is a 300 dots per inch (dpi) Tagged Image File Format (TIFF) version of a document. The invention allows for the documents to contain text, graphics, images, pictures, still frames of videos, shades of gray, color, and dual images of a scene for 3-dimensional stereoscopic viewing. The electronic

files can hold the information in formats other than TIFF and at resolutions other than 300 dpi.

5 The documents are recorded on an optical recording medium which can accommodate both visual images and digital information. The optical recording medium includes a writeable layer on a supporting substrate. For media in the form of an optical tape the substrate may be polyester, kapton, aramid, or metals. For media in the form of an optical disk the substrate may be a rigid plastic such as polycarbonate, or a flexible plastics such as polyester. The writeable layer
10 permits direct writing with a laser. Clearly, other forms and geometries which enable the objectives of high volume efficiency, fast access capability and compatibility with media automation schemes, such as; spheres, cylinders, cubes, thin, planar rectangular shapes or collections of these within a housing would be suitable for implementing this invention. Direct writing eliminates the need for
15 post-writing development which in turn allows for additional documents to be written and retrieved at any time. Preferably the writeable layer changes reflectivity when written in order to simplify the viewing of the visual records. Preferably the writeable layer does not produce debris during the writing process. Finally, the writeable layer must have a long data retention life. Several laser
20 writeable materials are available including metallic films, dye-polymer films, magneto-optical films, and amorphous to crystalline phase transition films. In the preferred embodiment the optical recording medium is an amorphous to crystalline phase transition film on a polyester substrate. Amorphous to crystalline phase transition films produce high resolution, high read contrast data at moderate write
25 power densities, create no debris during the write process, and have an expected data lifetime of greater than 100 years under controlled storage conditions.

To make the optical recording medium compatible with existing automated removable-media library systems it is in the form of a disk, or a tape housed in a
30 standard form factor cartridge. In the preferred embodiment the medium is a

12.65 millimeter ($\frac{1}{2}$ inch) wide 200 meter (655 feet) long optical tape housed in an IBM 3480 compatible form factor cartridge. One such cartridge and tape can store approximately 220,000 8.5 by 11 inch documents in visual form only and de-magnified approximately 85x, and approximately 80,000 such documents in both
5 visual and digital form, complete with headers and a Table of Contents.

FIG. 1 shows an example of data stored on an optical recording tape 2. The surface area of the optical recording tape 2 is divided into a first track 4, a second track 6, and a third track 8 which run parallel to the length of the tape. A
10 visual record 10 of a standard 8.5 by 11 inch document is stored in the first track 4 with the width of the visual record 10 being slightly narrower than the width of the first track 4. Below the visual record 10 is a visual header 12. The digital record 14 and digital header 16 for the document follow the visual header 12. In the second track 6 the visual record 18 of an 8.5 by 14 inch document is stored.
15 Further down the second track 6 is the visual record 20 of a large graph. This visual record 20 exceeds the width of the second track 6 and thus crosses into the third track 8. In the third track 8, three visual records 22 are used to record a color document. Each one of the three visual records 22 contain one color separation component of the document. Other numbers of color separations can
20 be used. The digital record 24 of the color document is shown in the process of being written by an array of four laser beams 26. In a preferred embodiment the writeable layer is divided into a table of contents area and five parallel tracks. Each track is 2.50 millimeters wide to accept 8.5 inch wide documents at 300 dpi using a dot size of just under 1 micrometer. The resulting visual records are
25 miniature reproductions of the original documents de-magnified by a factor of approximately 85x. The size of the features shown in FIG. 1 are exaggerated.

The data stored on the optical recording medium is binary in nature. Where the laser beams strike the writeable layer there is a change in the material's
30 appearance, be it a crystalline phase change, pit formation, ablation, hole burning,

or the like. Where the laser beams do not strike the writeable layer the material retains its original reflectivity. This binary nature allows the recording of black and white visual images and digital data. To accommodate documents with shades of gray the present invention converts the various levels of gray into halftone patterns before recording. To accommodate documents with color the present invention first separates the document into multiple color components (for example red , green and blue), then converts each color component into halftone images, and finally records the multiple halftone images as in 22.

10 The present invention accommodates documents of different resolutions and dimensions. The product of the electronic file's resolution and the document's physical dimensions is the maximum number of pixels (picture elements) of information potentially contained within that document. In practice, blank areas in the document which have no informational content could be compressed to speed operations during information processing and writing. If the electronic file defines more or fewer pixels across the width of a document than the number of laser spots which can be recorded across the width of one track, the electronic file can be processed to reduce or increase the number of pixels. This may be accomplished by scaling the document's dimensions while keeping the resolution (pixels per inch) constant, scaling the document's resolution while keeping the dimensions constant, or scaling both the dimensions and resolution.

25 Alternatively, the dimensions of the visual record can be scaled up or down so that there is a 1:N match between the pixels in the electronic file and the laser spots in the visual record, where N is an integer. Wide visual records are recorded across two or more adjacent tracks as in 20. If the number of pixels in the length direction in the document's information in the electronic file exceed a predetermined limit or predefined algorithmic rules set by the recorder format, the document may be either divided into multiple documents or recorded along a

greater length of the track as in 18. All of the above recording options can be controlled by predetermined rules, the user, or software applications.

5 A visual header may be recorded in the optical recording medium for each document. These headers contain alpha-numeric identifiers and metadata regarding the origin, contents, and recorded image characteristics of the documents. They are positioned near the visual records. In the preferred embodiment each header is positioned adjacent to it's associated visual record.

10 The digital records permit direct recreation of the documents in an electronic format without loss of quality when a compatible reader is available. The digital information is optically encoded using standard techniques such as pulse width modulation, pulse position modulation, or the like. Each digital row of data is separated from the adjacent rows in order to avoid cross-talk. Error
15 correction coding may be included to assist in retrieving the data from the optical recording medium. Servo marks may also be included to assist in tracking the digital rows during read-out. In the preferred embodiment the digital information is written using the same array of laser beams as the visual record, with appropriate modification of the tape velocity and laser unit operations to give a row
20 separation of about one laser spot width.

As with the visual information, the digital information includes a digital record and an optional digital header for each of the documents. Each digital record contains a copy of the digital information from the document's electronic
25 file. In the preferred embodiment the digital records are written on transverse rows across the width of one track with each row being slightly narrower than the width of the track. The physical length of the digital record varies with the amount of information to be recorded. In practice the physical length of the digital record on the optical media range from 1.5 to twice that of the visual records. In an
30 alternative embodiment the digital records are written on longitudinal rows which

run the length of the track. FIG.2 shows the digital information written along longitudinal rows. An optical recording medium 28 is divided into a first track 30, and a second track 32. The visual record 34 and visual header 36 of a document are recorded in the first track 30. The digital record 38 and digital header 40 of the same document are recorded in the second track 32 using a serpentine type trace. In this embodiment the laser scanner uses an array of lasers to quickly write the digital record 38 and digital header 40. FIG. 3 shows another alternative embodiment where the medium is an optical disk 88. The visual records 90 and visual headers 92 are recorded in concentric tracks 94, 96, 98, 100 and 102. The digital records 104 and digital headers 106 are recorded in a spiral track 108 inside track 102. With curved tracks, the documents may be written with straight edges as shown in the figure or, if a slight distortion is acceptable, they may be curved to follow the tracks. The size of the features in FIG. 2 and FIG. 3 are exaggerated.

As shown in FIG. 1 and FIG.2 digital headers may be included for each digital record. Like their visual counterparts, the digital headers contain alphanumeric identifiers and metadata regarding the origin and contents of the documents. They are usually positioned near the digital records. In the preferred embodiment each digital header is positioned adjacent to it's associated digital record.

To aid in the retrieval of information from the optical recording medium the invention includes defining a table of contents area on the writeable layer. Within the table of contents multiple entry positions are reserved for a predetermined number of documents. The entries are written in visual form and optionally in digital form. Each entry identifies the track and location within the track of each record as well as metadata associated with the record size, creation date, time, originator, change history and the like. Registration marks may be added in the tracks and referred to by the table of contents to help find and align

the records. In the preferred embodiment the table of contents is located at the logical beginning of the optical tape and contains both visual entries and digital entries.

5 Other features may be included on the medium and housing to assist in the writing and retrieval of documents as well as monitoring and control of the medium itself. Features such as tracking, positioning, alignment and calibration marks assist in the physical manipulation of the medium when inserting into, and when in use by the readers and writers. Identification of the medium
10 manufacturer, date codes, media type and the like are useful in areas such as identification, quality control and inventory. These types of features can either be incorporated by the manufacturer using a laser-based system, embossing process, molding process, or similar processes, or written into the writeable layer by the user. Alternatively, this type of information can be stored in externally readable
15 non-volatile solid state memory carried in the housing.

 A laser scanner is used to write the electronic file information and its associated metadata, and global metadata such as the table of contents, on the optical recording medium. The output of the laser scanner is a single laser beam
20 or an array of laser beams. In the preferred embodiment the laser beams have a spot size of just under one micrometer at the optical recording medium to match a 300 dpi electronic file de-magnified by a factor of 85x. The small spot size is achieved with a lens having a numerical aperture of about 0.24. To write information the laser scanner controls the intensity of the laser beams as well as
25 the relative position between the laser beams and the optical recording medium. With disk media the medium is normally spun around its center while a carriage carries the laser beams and optics in the radial direction. With tape media the medium is normally moved in the direction of its length, the laser optics deflect the laser beams across the width of one track, and a carriage moves the laser beams
30 and optics from track to track. Many other arrangements are possible.

FIG.4 shows a galvanometer-based laser position and intensity control portion of a laser scanner which is the preferred embodiment of the scanner. An array of four lasers 42 generates the laser beams 44 which pass through a collimating lens 46, are swept across the width of a track by a scanning galvanometer mirror 48 and focused onto the optical recording medium 50 by a second lens 52. The mirror 48 sweeps the laser beams in a saw-tooth fashion with a 75/25% duty cycle. The laser beams 44 write data on the medium 50 during the main sweep, and on the return sweep the laser beams 44 are extinguished. For this type of scanning, the medium may be advanced continuously, or by stepping during the return sweep. The continuous case results in a slight elongation of the visual image due to a gap between the scan lines, proportional to the scan return or fly-back time. In the array case, if there is a separation between the focused spots on the medium, interleaving may be used to fill in the spaces. When the scanner is writing digital information, the medium 50 is advanced to maintain a one row separation to minimize cross-talk. Using mirror frequencies between 825 Hz to 3300 Hz the scanner writes one visual record of an 8.5 by 11 inch document in 1 to 4 seconds, using 1 to 16 lasers in the array. The scanner includes a linear stepper carriage 54 to move the lasers, mirrors and lenses from track to track.

In a variation on the preferred embodiment the scanner uses a two-way harmonic scan where the laser beams write during the main sweep and during the return sweep. This approach decreases the frequency response needed from the mirror but it requires the medium to be advanced in steps while the mirror is changing directions. In this case, using mirror frequencies between 206 Hz and 825 Hz, the scanner writes one document in 1 to 4 seconds, using 1 to 16 lasers in the array. In another embodiment the scanner uses a multi-faceted polygonal rotating mirror. In this embodiment the medium is moved continuously which simplifies its control.

FIG. 5 shows the processing of information performed by the laser scanner. First, the electronic file 58 is sent to the laser scanner. Next, metadata which is embedded in or attached to the electronic file is extracted and ascribed to the document and appended 62 to the data. For the visual records, visual headers and
5 visual table of contents entries, the information is checked for color content 66. If color is present then the color is separated 68 into multiple visual records with one visual record for each color component. The information is then checked for gray-scale content 70. If present, the gray-scale information is converted to halftones 72. A decision 74 is made whether or not to scale 76 the width, length
10 and resolution of the document. Then, metadata which is derived from the foregoing processing and also is descriptive of record placement on the media and other characteristics is appended 64 to the data. Finally, the information is written into the optical recording medium 50 by controlling the laser beam intensity and relative position 78.

15 For digital records, digital headers and digital table of contents entries, after the ascribed metadata is appended 62, the information undergoes error correction coding 80. A formatting process 82 then arranges the information into fixed length traverse or longitudinal scan rows and decides which laser of the array
20 will write the data. An optical encoding process 84 converts the digital ones and zeros to appropriate laser intensities using pulse width modulation, pulse position modulation, or other suitable modulation scheme. Then, metadata which is derived from the foregoing processing and also is descriptive of record placement on the media and other characteristics is appended 64 to the data. Finally, the
25 laser intensity and position control process 78 writes the information into the optical recording medium 50.

Several types of readers can be used to extract the information from the optical recording media such as a white light optical microscope, scanning electron
30 microscope, or an acoustic microscope. Where the media is of hole burning or

crystallographic phase change type, the preferred embodiment to read the visual records, visual headers and visual table of contents entries is a monitor connected to a television camera with an objective lens having a numerical aperture in the range of 0.4 to 0.6. The digital image file can then be re-created by re-digitizing the visual information, for example by using a video frame grabber. For magneto-optical medium polarizing plates are inserted into the optical path to make the information visible. For pit-forming medium phase-contrast plates are used. Where color documents have been separated into multiple visual images a multi-beam microscope is used. Each beam of this microscope has a color filter which tints the individual color component images the appropriate color. Additional optics merge all of the beams back into one full color image. Where 3-dimensional scenes have been stored as multiple images within a document a stereoscopic microscope is used to view the 3-dimensional scene. The two images can be recorded digitally on the optical medium as separate files, which can be read and processed by a computer to generate a 3-dimensional display on an appropriate monitor.

The preferred embodiment of an optical reader for retrieving the digital records, digital headers and digital table of contents entries is a one-dimensional photo detector array which senses the full width of the record as the medium moves past. In an alternative embodiment the digital information is read using a galvanometer or rotating mirror laser scanner.

Although multiple embodiments of the invention have been shown and described it will be understood by those skilled in the art that many possible variations can be made without departing from the scope of this invention. This invention is not limited by the specific embodiments, rather it is defined in the following claims.

30

WHAT IS CLAIMED IS:

1 1. A system for storing documents having a width and a length, and which
2 exist as information in electronic files at a selected resolution, the system
3 comprising:

4
5 an optical recording medium having a laser writeable layer; and
6
7 a scanner emitting at least one variable intensity laser beam that engages said
8 writeable layer, said scanner receives said information in electronic files, and
9 controls said intensity of said at least one laser beam and relative position of said
10 at least one laser beam on said writeable layer in response to said electronic files
11 to write a visual record into said writeable layer at a de-magnification factor for
12 each of said documents.

1 2. The system of claim 1 wherein a table of contents area is defined in said
2 writeable layer, and for each of said documents said scanner writes a visual table
3 of contents entry in said table of contents area and a visual header adjacent to said
4 visual record.

1 3. The system of claim 1 wherein said scanner detects and converts gray-
2 scale information within said electronic files into halftone information.

1 4. The system of claim 1 wherein said scanner detects and separates color
2 information within said electronic files into a plurality of color components, and
3 wherein said scanner writes one said visual record for each of said plurality of
4 color components.

1 5. The system of claim 1 wherein said scanner scales said width and said
2 length of said document within said electronic files.

1 6. The system of claim 1 wherein said scanner scales said selected
2 resolution of said document within said electronic files.

1 7. The system of claim 2 further comprising a microscope for viewing said
2 visual records, said visual headers, and said visual table of contents entries.

1 8. The system of claim 1 further comprising a stereoscopic microscope for
2 viewing said visual records containing multiple image 3-dimensional scenes.

1 9. The system of claim 4 further comprising a multi-beam microscope for
2 color viewing, said multi-beam microscope comprising:

3
4 a plurality of beams, one for each of said plurality of visual records;
5
6 a plurality of color filters, one for each of said plurality of beams, for tinting each
7 of said plurality of beams an appropriate color; and
8
9 optics for merging said plurality of beams into a single color image.

1 10. The system of claim 1 wherein a plurality of tracks, each approximately
2 2.5 millimeters wide, are defined in said writeable layer and said de-magnification
3 factor is approximately 85 so that said visual records of said documents having said
4 width of 8.5 inches fit into one track of said plurality of tracks.

1 11. The system of claim 10 wherein for each of said documents having said
2 width of greater than 8.5 inches said scanner writes said visual record across at
3 least two adjacent tracks of said plurality of tracks.

1 12. The system of claim 1 wherein for each of said documents said scanner
2 further writes a digital record.

1 13. The system of claim 2 wherein for each of said documents said scanner
2 further writes a digital record, a digital table of contents entry in said table of
3 contents area and a digital header adjacent said digital record.

1 14. The system of claim 13 wherein said scanner incorporates error
2 correction coding into said digital record, said digital table of contents entry, and
3 said digital header.

1 15. The system of claim 13 wherein a plurality of tracks, each having a
2 transverse direction and a longitudinal direction, are defined in said writeable
3 layer, and wherein said scanner formats said digital record, said digital header, and
4 said digital table of contents entry as rows of data written in said transverse
5 direction.

1 16. The system of claim 13 wherein a plurality of tracks, each having a
2 transverse direction and a longitudinal direction, are defined in said writeable
3 layer, and wherein said scanner formats said digital record, said digital header, and
4 said digital table of contents entry as rows of data written in said longitudinal
5 direction.

1 17. The system of claim 13 further comprising an optical reader for
2 transforming said digital records, said digital headers, and said digital table of
3 contents entries into an electronic format.

1 18. The system of claim 1 wherein said medium has a form selected from
2 the group of forms consisting of tape and disk.

1 19. The system of claim 18 further comprising a housing suitable for
2 human and machine handling, and wherein said medium is disposed within said
3 housing.

1 20. The system of claim 1 wherein said writeable layer is selected from the
2 group of writeable layers consisting of metallic films, dye-polymer films, bubble
3 forming films, magneto-optical films, and amorphous to crystalline phase transition
4 films.

1 21. The system of claim 20 wherein said optical recording medium further
2 comprises a substrate supporting said writeable layer, said substrate is selected
3 from the group of substrates consisting of polyester, kapton, aramid, metals,
4 polycarbonate, and polyester.

1 22. A method of storing a document having a width and a length, and
2 which exists as information in an electronic file at a selected resolution, the method
3 comprising:
4
5 placing an optical recording medium in communication with a scanner, said optical
6 recording medium having a laser writeable layer, said scanner having at least one
7 variable intensity laser beam which engages said writeable layer;
8
9 reading said information in electronic file into said scanner; and
10
11 writing a visual record in said writeable layer at a de-magnification factor by
12 controlling said intensity of said at least one laser beam and relative position of
13 said at least one laser beam on said writeable layer in response to said electronic
14 file.

1 23. The method of claim 22, wherein a table of contents area is defined in
2 said writeable layer, the method further comprising:

3
4 moving said relative position of said at least one laser beam on said writeable layer
5 such that said at least one laser beam is directed adjacent said visual record;

6
7 writing said visual header in said writeable layer;

8
9 moving said relative position of said at least one laser beam on said writeable layer
10 such that said at least one laser beam is directed at said table of contents area; and

11
12 writing said visual table of contents entry in said writeable layer.

1 24. The method of claim 22 further comprising:

2
3 detecting gray-scale information within said electronic file; and

4
5 converting gray-scale information within said electronic file into halftone
6 information.

1 25. The method of claim 22 further comprising:

2
3 detecting color information within said electronic file;

4
5 separating said color information within said electronic file into a plurality of color
6 components; and

7
8 writing a plurality of visual records in said writeable layer, one for each of said
9 plurality of color components.

1 26. The method of claim 22 further comprising scaling said width and said
2 length of said document within said electronic file.

1 27. The method of claim 22 further comprising scaling said selected
2 resolution of said document within said electronic file.

1 28. The method of claim 23 further comprising magnifying said visual
2 record, said visual header, and said visual table of contents entry for viewing.

1 29. The method of claim 22 further comprising independently magnifying
2 each image of a multiple image 3-dimensional scene contained within said visual
3 record for stereoscopic viewing.

1 30. The method of claim 25 further comprising:
2
3 magnifying each of said plurality of visual records;
4
5 tinting each of said plurality of visual records an appropriate color; and
6
7 merging said plurality of visual records into a single color image for viewing.

1 31. The method of claim 22 wherein a plurality of tracks, each
2 approximately 2.5 millimeters wide, are defined in said writeable layer and said
3 de-magnification factor is approximately 85 so that said visual record of said
4 document having said width of 8.5 inches fits into one track of said plurality of
5 tracks.

1 32. The method of claim 31 wherein for said document having said width
2 of greater than 8.5 inches said method further comprises writing said visual record
3 into said writeable layer across at least two adjacent tracks of said plurality of
4 tracks.

1 33. The method of claim 22 further comprising:
2
3 moving the relative position of said at least one laser beam on said writeable layer
4 such that said at least one laser beam is directed away from said visual record; and
5
6 writing a digital record in said writeable layer.

1 34. The method of claim 23 further comprising:
2
3 moving the relative position of said at least one laser beam on said writeable layer
4 such that said at least one laser beam is directed away from said visual record;
5
6 writing a digital record in said writeable layer;
7
8 moving the relative position of said at least one laser beam on said writeable layer
9 such that said at least one laser beam is directed adjacent said digital record;
10
11 writing a digital header in said writeable layer;
12
13 moving the relative position of said at least one laser beam on said writeable layer
14 such that said at least one laser beam is directed at said table of contents area; and
15
16 writing a digital table of contents entry in said writeable layer.

1 35. The method of claim 27 wherein prior to writing said digital record in
2 said writeable layer, the method further comprises incorporating error correction
3 coding into said digital record, said digital table of contents entry and said digital
4 header.

1 36. The method of claim 35 wherein a plurality of tracks, each having a
2 transverse direction and a longitudinal direction, are defined in said writeable
3 layer, the method further comprises formatting said digital record, said digital
4 header and said table of contents entry as rows of data written in said transverse
5 direction.

1 37. The method of claim 35 wherein a plurality of tracks, each having a
2 transverse direction and a longitudinal direction, are defined in said writeable
3 layer, the method further comprises formatting said digital record, said digital
4 header and said table of contents entry as rows of data written in said longitudinal
5 direction.

1 38. The method of claim 23 further comprising:
2
3 reading said digital record, said digital header and said digital table of contents
4 entry from said writeable layer; and
5
6 transforming said digital record, said digital header and said digital table of content
7 entry into an electronic format.

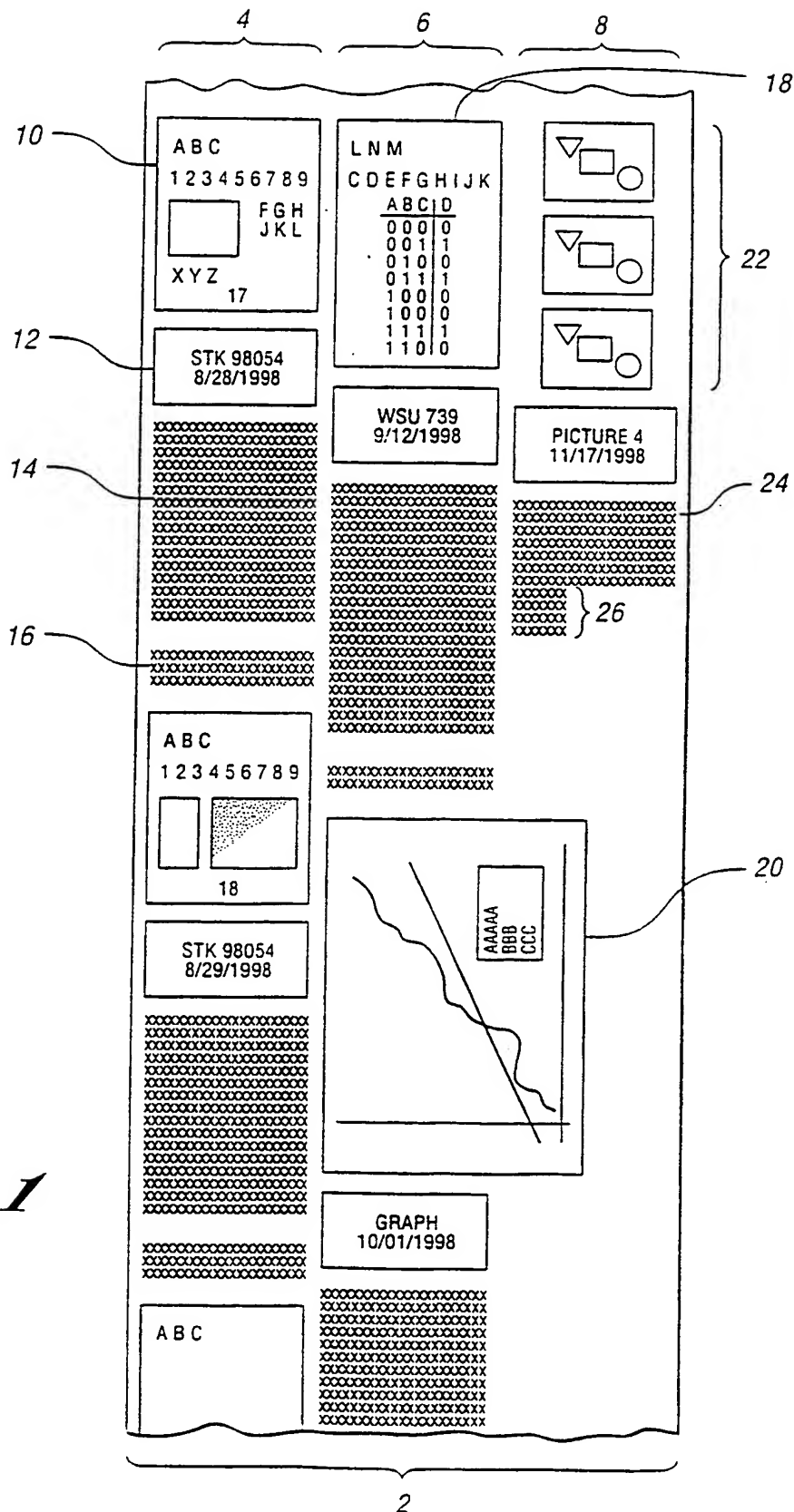


Fig. 1

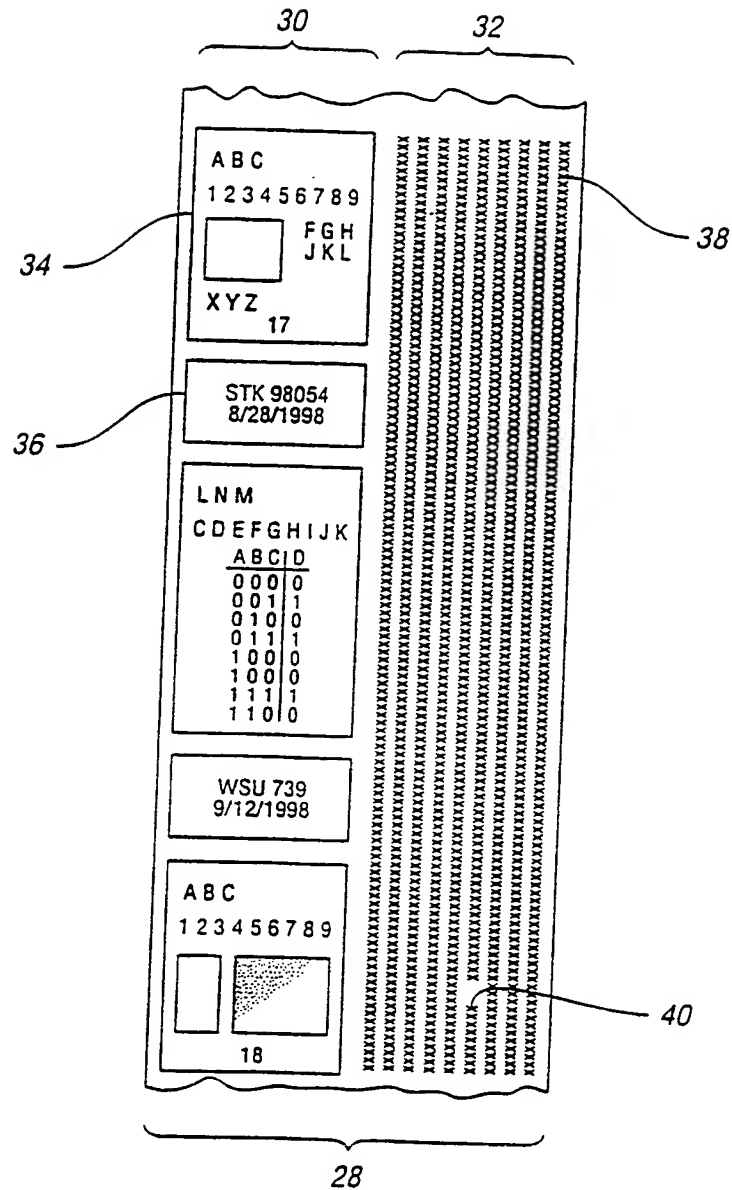


Fig. 2

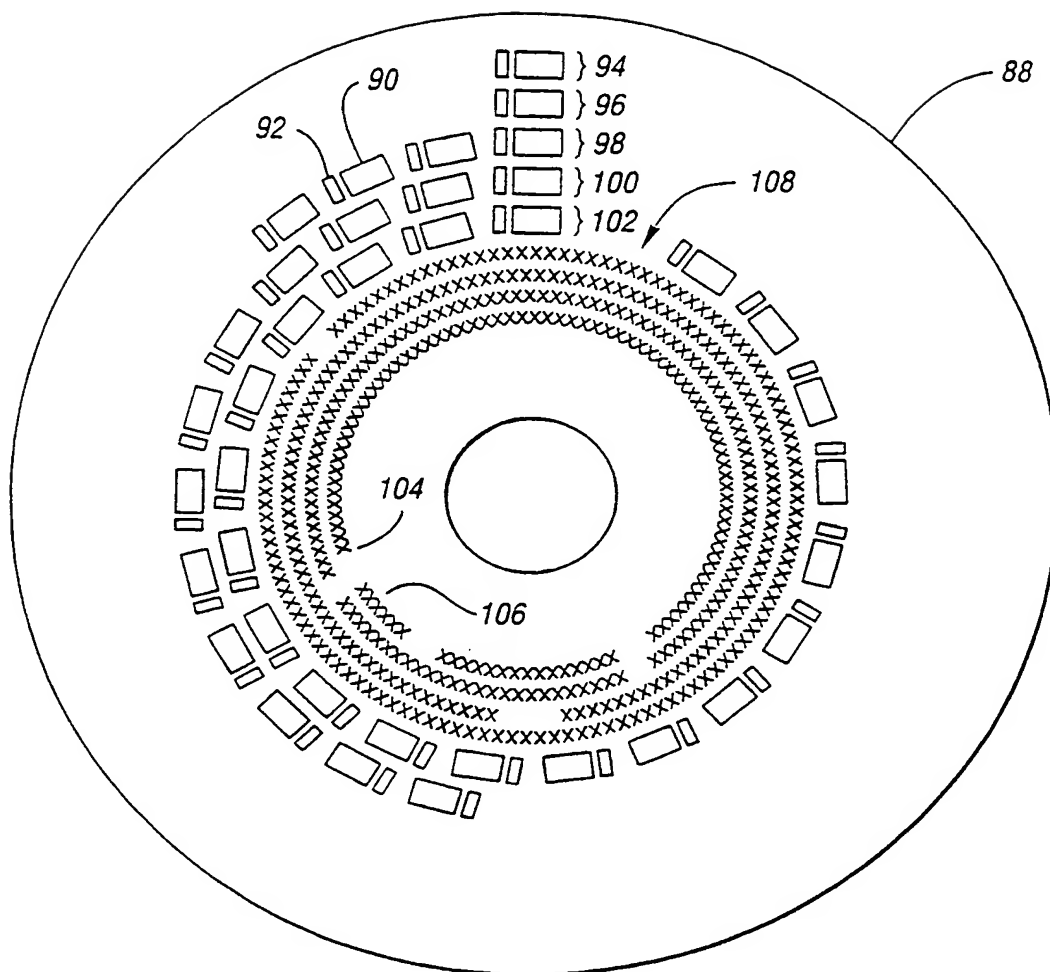


Fig. 3

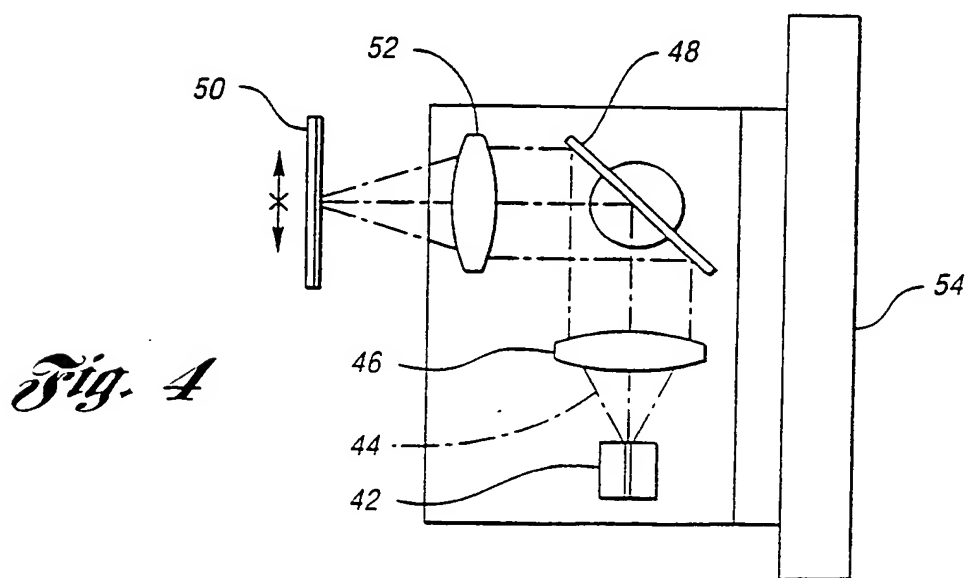
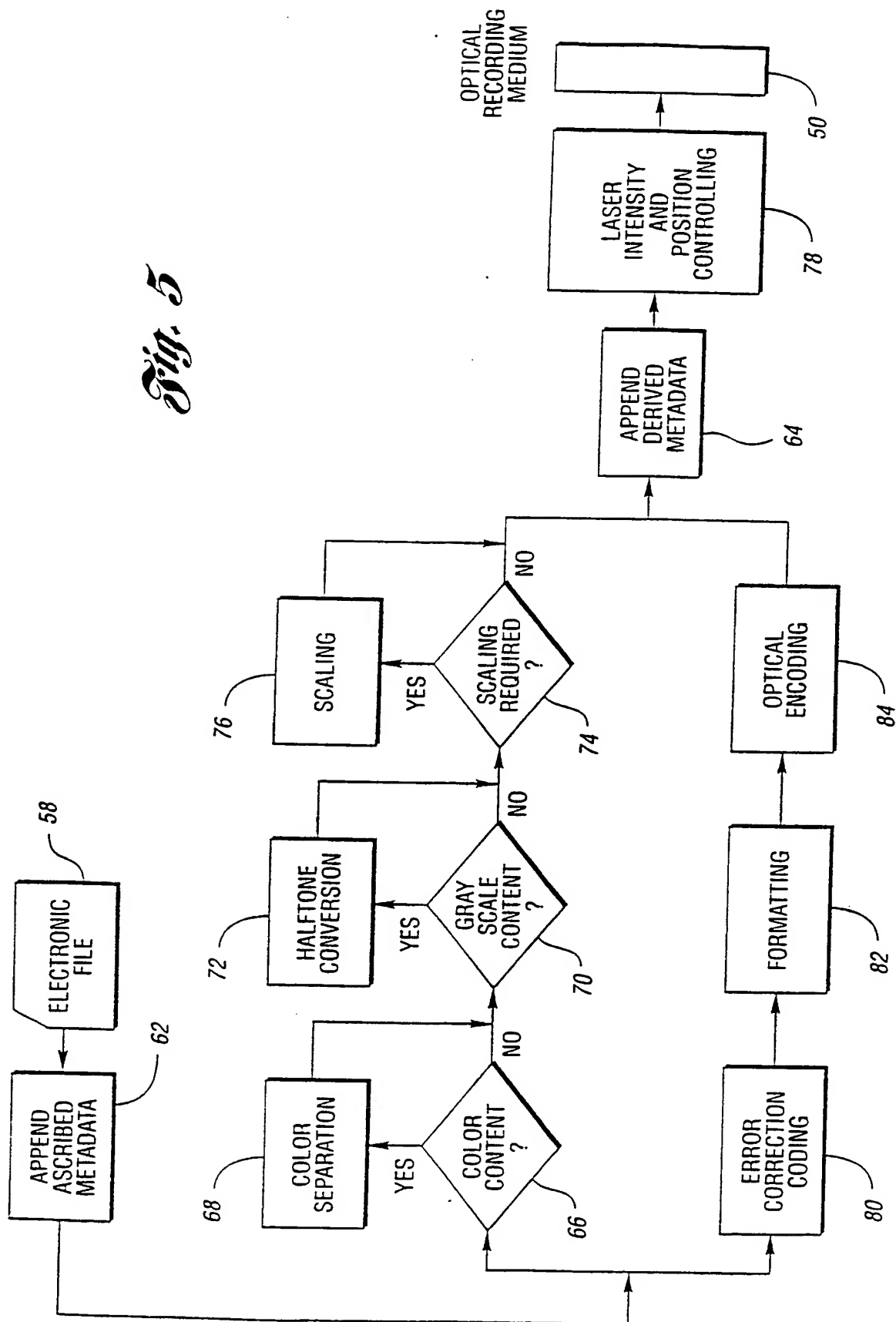


Fig. 4

Fig. 5

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/26231

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H04N1/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H04N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|--|-----------------------------|
| X | "New Kodak System for Long-term Archiving Assures Access to Digital Documents" KODAK PRESS RELEASE, 'Online! 1 April 1996 (1996-04-01), XP002130125 Retrieved from the Internet: <URL:www.kodak.com/country/US...essRelease/pr19960401-11.shtml> 'retrieved on 2000-02-09! & "Kodak Document Archive Writer, Modell 4800" KODAK PRODUCT DESCRIPTION, 'Online! Retrieved from the Internet: <URL:www.kodak.com> 'retrieved on 2000-02-09! * the whole document * | 1-6, 18-24, 26-28, 33 |
| Y | | 7-14, 25, 29-32, 34 |
| A | | 15-17 |

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

10 February 2000

Date of mailing of the international search report

21/02/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Stoffers, C

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/26231

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No |
|----------|--|----------------------|
| X | US 4 346 449 A (OVSHINSKY STANFORD R ET AL) 24 August 1982 (1982-08-24) abstract column 1, line 12 - line 25 column 3, line 1 - line 22 column 24, line 51 - column 25, line 53 claims 1-4, 6, 9-11, 15, 23, 27 --- | 1, 5, 6, 18-23 |
| Y | US 5 335 082 A (SABLE ARTHUR J) 2 August 1994 (1994-08-02) abstract --- | 7-9, 25, 30 |
| Y | US 4 511 930 A (LEMELSON JEROME H) 16 April 1985 (1985-04-16) abstract --- | 10, 11, 31, 32 |
| Y | US 5 721 687 A (LAMARTINE BRUCE C ET AL) 24 February 1998 (1998-02-24) abstract figure 3 column 1, line 9 - line 34 column 1, line 60 - line 63 column 2, line 13 - line 20 column 2, line 65 - column 3, line 12 column 4, line 51 - line 58 column 5, line 1 - line 19 claim 2 --- | 12-14, 29, 34 |
| A | US 5 506 697 A (WANG YNJIUN P ET AL) 9 April 1996 (1996-04-09) abstract claims 1-4 --- | 1-38 |
| A | US 5 218 673 A (FUJIWARA TAKUTOSHI) 8 June 1993 (1993-06-08) abstract --- | 1-38 |
| A | "HD-ROSETTE ARCHIVAL PRESERVATION SYSTEMS" NORSAM PRODUCT DESCRIPTION, 'Online! XP002130147 Retrieved from the Internet: <URL:www.norsam.com/rosetta.html> 'retrieved on 2000-02-09! ----- | 1-38 |

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/26231

| Patent document cited in search report | | Publication date | Patent family member(s) | Publication date |
|---|---|---------------------|----------------------------|---------------------|
| US 4346449 | A | 24-08-1982 | US 4205387 A | 27-05-1980 |
| | | | AU 511898 B | 11-09-1980 |
| | | | AU 2884977 A | 22-03-1979 |
| | | | BE 858678 A | 02-01-1978 |
| | | | CA 1112291 A | 10-11-1981 |
| | | | DE 2739857 A | 30-03-1978 |
| | | | FR 2364765 A | 14-04-1978 |
| | | | GB 1585932 A | 11-03-1981 |
| | | | IT 1110196 B | 23-12-1985 |
| | | | JP 53036432 A | 04-04-1978 |
| US 5335082 | A | 02-08-1994 | US 5294993 A | 15-03-1994 |
| | | | AU 7407694 A | 01-08-1995 |
| | | | WO 9518990 A | 13-07-1995 |
| | | | WO 9321726 A | 28-10-1993 |
| US 4511930 | A | 16-04-1985 | US 4398223 A | 09-08-1983 |
| US 5721687 | A | 24-02-1998 | AU 708885 B | 12-08-1999 |
| | | | AU 4967796 A | 21-08-1996 |
| | | | BR 9605801 A | 05-08-1997 |
| | | | CA 2186893 A | 08-08-1996 |
| | | | CN 1148436 A | 23-04-1997 |
| | | | EP 0755547 A | 29-01-1997 |
| | | | JP 9511691 T | 25-11-1997 |
| | | | WO 9624107 A | 08-08-1996 |
| US 5506697 | A | 09-04-1996 | US 5304786 A | 19-04-1994 |
| | | | US 5644408 A | 01-07-1997 |
| | | | US 6002491 A | 14-12-1999 |
| | | | AU 637409 B | 27-05-1993 |
| | | | AU 6392390 A | 09-10-1990 |
| | | | CA 2026387 A | 06-07-1991 |
| | | | DE 69027762 D | 14-08-1996 |
| | | | DE 69027762 T | 09-01-1997 |
| | | | DE 69033063 D | 20-05-1999 |
| | | | DE 69033063 T | 05-08-1999 |
| | | | EP 0439682 A | 07-08-1991 |
| | | | EP 0565738 A | 20-10-1993 |
| | | | EP 0733991 A | 25-09-1996 |
| | | | JP 2846442 B | 13-01-1999 |
| | | | JP 3204793 A | 06-09-1991 |
| | | | US 5399846 A | 21-03-1995 |
| | | | US 5471533 A | 28-11-1995 |
| | | | US 5489158 A | 06-02-1996 |
| | | | US 5635697 A | 03-06-1997 |
| | | | US 5504322 A | 02-04-1996 |
| | | | US 5710419 A | 20-01-1998 |
| | | | US 5796090 A | 18-08-1998 |
| | | | US 5760382 A | 02-06-1998 |
| | | | US 5837986 A | 17-11-1998 |
| | | | US 5974202 A | 26-10-1999 |
| | | | US 5243655 A | 07-09-1993 |
| | | | US 5337361 A | 09-08-1994 |
| | | | US 5880453 A | 09-03-1999 |
| US 5218673 | A | 08-06-1993 | JP 2003847 C | 20-12-1995 |
| | | | JP 7013823 B | 15-02-1995 |

Form PCT/ISA/210 (patent family annex) (July 1992)

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/26231

| Patent document cited in search report | Publication date | Patent family member(s) | Publication date |
|---|---------------------|----------------------------|---------------------|
| US 5218673 | A | JP 60081676 A | 09-05-1985 |
| | | JP 1653193 C | 30-03-1992 |
| | | JP 3012749 B | 20-02-1991 |
| | | JP 60081677 A | 09-05-1985 |
| ----- | | | |

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)